Forest Stewardship Council®
FSC® Canada

FSC Canada Standards Revision (v 1.0)
Proposal: Transfer of FSC Standards to the revised P&C (V5-0) including International Generic Indicators (IGIs)

PART 1 WAS SUBMITTED TO FSC IC AND APPROVED - 12.09.14

1.1 This proposal is submitted by:

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1.2 Please state the name and position of the person who will manage the transfer process, if different from above:

Same as above

1.3 Please state the name and approval date of the standard(s) which you propose to transfer to the revised P&C (V5-0):

- National Boreal Standard (2004)
- Maritimes Standard (2008) and Maritimes Standard for Small and Low Intensity Forests (2008)
- British Columbia Standard and Small Operators Standards (2005)
- Great Lakes-St. Lawrence Standard (not accredited (2010-2011 v3)

2. Description of the type of transfer that will be undertaken

The revision of all regional Forest Management (FM) Standards (British Columbia, including BC Small Operators Standard; National Boreal, Great Lakes St. Lawrence; and Maritimes regions, including the SLIMF Standard) will be launched concurrently and the process will seek consistency, rigor and cost-efficiencies. The process will strive to build on previous work while providing opportunities for focused regional and national discussions. The process is expected to have three phases:

Phase 1: Process Design;
Phase 2: Standards Revision; and

Phase 3: Field Testing of Draft Standards.

The Process Design Phase started in May 2012 and will continue into the fall. The Standards Revision Process is expected to take approximately two years beginning in the late fall. Field testing will commence later in the process with the goal of having standards sent to FSC IC for approval by December 31, 2014.

The FM Standards revision process will align with the revised International Principles & Criteria (v5-0) and FSC international generic indicators (IGIs). The IGIs will be integrated into the standards revision process.

FSC Canada's Standards Revision Process falls under the Option B.

Option B. Advanced Model:

- Transfer of existing indicators to the revised P&C, as appropriate, plus adoption of the FSC IGIs to fill identified gaps.
- Revision of specific indicators identified by the Standards Development Group as needing additional work.

All transferred standards need to be approved by FSC IC before they can be put to practice.

Additional comments:

3. Timetable

Dates	IGI Development Schedule	**Activities for regional representatives, network partners/SDGs**	**Your Standards transfer timetable**
10-14 July 2012	First IGI Group meeting to launch process and work on Draft Zero	Regional representative participation in meeting and discussions on how to engage Network Partners/SDGs in the process	John Cathro and Brad Young, FSC CA Board of Directors participate on the IGI Technical Committee and the IGI Working Group, respectively.
mid August 2012 – mid September 2012	Results of first IGI Group meeting available (Draft 0.2)	• Outreach to Network Partners/SDGs to share results of first IGI meeting and gather input for second meeting • Network Partners/SDGs conduct gap analysis and assess feasibility of IGIs for their standards.	FSC Canada continues Phase 1: Process Design and Information Gathering/ Research of Standards Revision Process including launching a Process Design Survey and gathering information.
3-5	Second IGI	Regional representatives share	FSC CA sends request to

October	Group meeting to prepare Draft 1.0 for public consultation	input provided by Network Partners/SDGs	stakeholders interested in standards to review the Draft IGIs prior to the Oct 3-5 meeting.

Nov 2012 – Jan 2013	First formal Public Consultation period	• First set of regional workshops for regional representatives, Network Partners/SDGs, FSC staff
		  • Work on filling gaps with draft IGIs
		  • Input gathered for the next draft of the IGIs based on above bullet
		• Network Partners/SDGs do outreach to national-level stakeholders
		• For Network Partners/SDGs conducting a parallel process of transferring their standard as draft IGIs are available, this is when a national level consultation on the draft standard might occur
			FSC Canada conducts a Gap Analysis of IGIs against existing regional standards.
			FSC CA forms National Standards Development Group
			FSC CA forms Regional Advisory Groups (Maritimes, GLSL, Boreal and BC).
			FSC CA completes Draft 1 of Indicators.
			FSC CA has second public comment period of Draft IGIs

12-15 March 2013	Third IGI Group meeting to review comments		SDG and Regional Advisory Groups continue work.
End march-end April 2013	Results of second IGI Group meeting available	Outreach to Network Partners/SDGs to share results of second meeting and gather input for next draft of the IGIs	SDG and Regional Advisory Groups continue work. Winter – Spring public comment period.
May – July 2013	Second formal Public Consultation period	• Second regional workshop in each region • Continued work at national-level on tracking IGIs in the standards and consultation • For Network Partners/SDGs conducting a parallel process of transferring their standard as draft IGIs are available, this is when a national level consultation on the draft	Incorporation and analysis of IGIs into FSC CA FM Standards. Winter – Spring public comment period.

		standard might occur	
Sept/Nov 2013	Third IGI Group meeting to develop final draft of the IGIs for Board approval	Final draft of the IGIs are available after this meeting	Field-Testing of FSC CA Revised Standards including IGIs
March 2014	Approval of the IGIs by the FSC Board	Approved IGIs are available	Revise final draft including incorporation and analysis of IGIs into FSC CA FM Standards.
Dec 2014, with extensions as necessary	National and CB interim standards transferred to revised P&C	• Finalize standards transfer; send to FSC for approval • FSC to lead on transfer of CB interim standards to IGIs with adaptation as necessary.	Send FSC CA FM Standards for approval.

Note: A comprehensive workplan and timeline are available and will be sent with the full proposal.

4. What is the estimated budget for the transfer? What are your funding sources and funding needs?

The incorporation of IGIs will be integrated as part of a larger standards revision process for all FSC Canada regional standards. The overall budget of the Standards Revision Process is estimated at $ 1,012,000 Canadian dollars and the IGI portion of this this budget is estimated at $253,000 or 1/4th of the overall budget.

Modified text (07/05/13):

The overall budget of the Standards Revision Process is estimated at $ 701,202 Canadian dollars and the IGI portion of this this budget is estimated at $175,300.50 or 1/4th of the overall budget.

PART II: DETAILED PLANNING
Submitted by FSC Canada May 7, 2013

FSC Canada has launched a process to revise all regional Forest Management (FM) Standards (British Columbia, including BC Small Operators Standard; National Boreal, Great Lakes St. Lawrence; and Maritimes regions, including the SLIMF Standard) has been launched. The process seeks consistency, rigor and cost-efficiencies. The process will strive to build on previous work and agreements while providing opportunities for focused regional and national discussions.

The Process Design Phase started in May 2012 and will continue into the fall. The Standards Revision Process is expected to take approximately two years beginning in the late fall. Field testing will commence later in the process with the goal of having standards sent to FSC IC for approval by December 31, 2014.

The FM Standards revision process will need to align with the new international Principles & Criteria and the soon to be released draft FSC international generic indicators (IGIs).

In an effort to create a stable and broadly supported process FSC Canada wants to have ongoing dialogue with certificate holders, certifiers, FSC members and other stakeholders.

Key Components and Description of Process

1. **Alignment with FSC revised Principles and Criteria and International Generic Indicators (IGIs)**

In February 2012, FSC International membership approved the revised Principles & Criteria (P&Cs). The FSC P&Cs are the guiding framework for developing regional forest management standards globally.

The revised P&Cs and the parallel process of developing IGIs will form the structural architecture for the FSC Canada National FM Standard. It is FSC Canada's expectation to adopt, adapt and in some cases omit FSC IGIs.

2. **One National Standard with Common Indicators and where warranted, Regionally Specific Indicators**

In early January 2013 the FSC Canada membership approved with a 94% vote in favour, a motion that defines the Strategic Direction of the Standards Revision Process. FSC Canada has the mandate to develop one National Standard with common indicators and regional indicators where warranted. See appendix 1.

3. **Focused Regional and National Discussions**

FSC Canada has compared existing regional FSC FM standards and found substantial alignment across a majority of the indicators. As a result, FSC Canada will focus discussion with stakeholders and Indigenous Peoples on regionally significant indicators - this means indicators identified as problematic, ecologically or socially important or requiring strengthening.

The indicators will be written by a technical expert and FSC Canada staff and will include common indicators and regionally specific indicators. All indicators will be subject to at least two rounds of consultation.

4. **FSC Directives and Guidance Documents**

With two decades of experience, there are normative and guidance documents that are required to be followed or considered when developing the FSC CA National Forest Management Standard. Key guidance and/or normative documents that will influence the Canadian standards process include:

- **FPIC Guidance (October 2013)**
- **Explanatory Notes**
- **FSC-STD-20-002 (V3-0) EN "Structure, content and local adaptation of Generic Forest Stewardship Standards"**
- **FSC-STD-60-002 (V1-0) EN "Structure and Content of National Forest Stewardship Standards"**

5. **Field testing Across Regions**

Field testing will occur across multiple regions with the goal of having standards approved by FSC IC by December 31, 2014. As the process progresses FSC Canada will explore opportunities for field testing sections of the National Standard rather than the full Standard in all regions.

Workplan
FSC Canada has developed a detailed workplan that covers all aspects of Standards Revision Process in Canada including the transfer of the IGIs.

See FSC Canada Standards Revision Workplan

6. Funding and resources available, and/or potential sources of funding:

Resources needed	Source	Amount	Confirmed?
FSC Canada Standards Revision and IGI Transfer	**FSC IC Strategic Fund Other Sources**	**$701,202 (Cdn)**	**In part**

7. Coordinator and Chair

Vivian Peachey will coordinate and chair the standards revision process and transfer process, as approved by the FSC Canada Board of Directors.

Name	Role	Contact
Vivian Peachey Director Standards and policy	**Coordinator and chair**	**400-70 The Esplanade, Toronto, ON, M5E 1R2 T: 416-778-5568 , F: 416-778-0044 v.peachey@ca.fsc.org**

8. Standards Development Group

In designing the SDG, FSC Canada asked FSC members to nominate candidates.

The FSC Standards Committee a committee of the Canada Board of Directors will provide oversight and strategic direction in the development of a National Forest Management Standard. The FSC Canada Board of Directors has final authority and decision making in all standards and policy work including the Standards Revision Process.

Representation on the Standards Development Group will be across chambers (Aboriginal, Social, Environmental and Economic), with an equal number of people in each chamber and consideration for regional representation, gender balance and diversity of experience. The SDG's primary responsibility will be to support the development of a National Forest Management Standard with common indicators and regional indicators, where warranted. The development of the National Forest Management Standard, will involve supporting the transfer and adaption of FSC International Generic Indicators (IGIs) and the development of Canadian specific SMART (Specific, Measurable, Attainable, Rational, Timebound) indicators.

See FSC Canada Standards Development Group Terms of Reference

ECONOMIC CHAMBER

Christopher J. Stagg, RPF - British Columbia
Chris has extensive experience overseeing the implementation of FSC Standards in Western Canada. Chris is currently the Chief Forester for Canfor in British Columbia, and previously worked in a similar capacity for Tembec in their BC and Manitoba operations.

Guy Tremblay, RESOLUTE - Quebec
Guy has experience with both the FSC Canada National Boreal and Great Lakes St. Lawrecne Standards and was involved in the development of the National Boreal standard. He is a registered professional forester with over 30 years of experience and has extensive knowledge of other standards such as ISO 14001.

SOCIAL CHAMBER

Satnam Manhas, Ecotrust - British Columbia (PRIMARY – shared seat)
Satnam works as the Ecosystem Services Manager, where he is involved with assisting forest tenures pursue management services. He has worked on various issues including FSC certification, carbon, First Nations' values, visual quality, ecological values, recreation, community planning, and more.

Scott Davis, Eastern Ontario Model Forest – Ontario (ALTERNATE – shared seat)
Scott has been the Forest Certification Coordinator for the Eastern Ontario Model Forest (EOMF) since 2000. During his time spent at the EOMF Scott has assembled a group of private and community forest owners that are certified by the Rainforest Alliance to the Principles of the Forest Stewardship Council Certification System. Currently there are 140 independent owners, 9 Community forests, and an urban forest covering over 55,000 hectares. Prior working with the EOMF Scott worked as a woodlands manager for the Manitoba Habitat Heritage Corporation and also worked in the Fire Management Program for the Ontario Ministry of Natural Resources.

Solange Nadeau - New Brunswick
Solange works for Natural Resources Canada, Canadian Forest Service, Atlantic Centre and conducts research on social aspects of forestry such as: forest-dependent communities, attitudes and motivations of forest woodlot owners, public participation in forest management and policymaking.

ENVIRONMENTAL CHAMBER

Karen Tam Wu - British Columbia
Karen is a Registered Professional Forester in BC. Karen has worked as an auditor with an accredited Certification Body for FSC certificates for two years. She participated in the development of the Maritime standards, and has been a consultant, providing technical expertise to companies in BC and internationally to develop management systems that comply with FSC standards.

Dave Pearce, Wildlands league / CPAWS - Onatrio

Dave has worked for the Canadian Parks and Wilderness Society, Wildlands League Chapter since 2003 on issues related to forest management, focusing on boreal issues and caribou management. He is currently the Manager, Forest Conservation for CPAWS Wildlands League.

ABORIGINAL PEOPLES CHAMBER

Peggy Smith,– Ontario (PRIMARY – shared seat)
Peggy is an Associate Professor in the Faculty of Natural Resources Management at Lakehead University. Peggy teaches Forest Policy and Legislation in Natural Resources, Environmental Assessment, and Aboriginal Peoples and Natural Resources and research interests focus on the social impacts of natural resource management, including Aboriginal Peoples' involvement in natural resource management, development and conservation, community forestry, public participation, northern development, and forest certification. Peggy received her Ph.D. from the University of Toronto's Faculty of Forestry in 2007 and is a Registered Professional Forester who graduated from the Faculty of Forestry at Lakehead University in 1991.

Russell Diabo, Wolf Lake First Nation- Quebec / Ontario (ALTERNATE – shared seat)
Russell is a Policy Advisor at Wolf Lake First Nation and at the Algonquin Nation Secretariat. Russell is also Editor and Publisher of the First Nations Strategic Bulletin an online newsletter on First Nation political and legal issues.

Kevin Gillis – Saskatchewan
Kevin works for FSC-certified Mistik Management where he works with a range of operational and technical skill sets focused on the maintenance of biodiversity, wildlife and other environmental values in the forest landscape. Kevin's knowledge of key environmental values, acquired from a combination of pre-activity reconnaissance, field work, local trapper and outfitter stakeholders and Aboriginal traditional use stakeholders has resulted in exceptional landscape-level forest harvest design and implementation.

8.2 Please provide the working Language(s) of the Standards Development Group:

The working language for the Standards Development Group will be English.

9. Technical Experts

Technical experts may be appointed to participate in Standards Development Group discussions. These technical experts shall have voice in the Standards Development Group discussions but technical experts shall not participate in decision-making.

It is expected that Techanical Experts will be sought for supporting efforts related to the management of Woodland Caribou and determinig the application of

Free, Prior and Informed Consent in Canada. A full list of experts have not yet been formaized but may include:

Name	Expertise	List of documents (ToR, work plan, documents produced)
Chris Wedeles	Woodland Caribou	Develop caribou specific indicators and guidance
Justina Ray	Woodland Caribou	Develop caribou specific indicators and guidance
Sara Teitelbaum	Indigenous Peoples Rights	Policy Review of application of FPIC in Canada

10. Consultative Forum

The Consultative Forum includes individuals and/or organizations reflecting the full range of stakeholders affected by the standard(s). This will differ depending on the national context and the type of transfer that will be undertaken. The following interests should be considered:

Environmental	Economic	Social
Biological diversity	Owners/managers of large and medium sized forests	Forest workers
Water	Owners/managers of small and low intensity forests	Indigenous Peoples
Soils	Forest contractors	Local communities
Ecosystems and Landscapes	Timber producer associations	Forest recreation groups
HCVs	Owners/managers of different forest types	Consumers

On May 24, 2013 FSC CA asked stakeholders to update their contact information and identify their affiliations and interests. This information will be used to manage the FSC CA Consultative Forum. Stakeholders were asked to identify their affiliation from the below list.

- Forest management certificate holder of large and/or medium sized forest(s)
- Forest management certificate holder of small and/or low intensity forest(s)
- Forest manager of large and/or medium sized forests

- Forest manager of small and/or low intensity forests
- Forest contractor or forest worker

- Representative of forest owners association
- Representative of a forest producer association
- Chain-of Custody Holder
- Product producer / manufacturer

- Certification body or forest management auditor
- First Nation/Aboriginal Person or organization
- Provincial or Territorial Government

- Representative of an ENGO
- Representative of a Social NGO
- Forest recreation groups (equestrian, biking, hiking, snowmobile etc.)
- Outfitters (hunting, fishing etc.)
- Researcher / Scientist
- Other Stakeholder (NGO, Member of Public, member of community etc.)

As of May 2013, FSC Canada is transitioning from Phase One (Design and Research) of the Standards Revision Process/ IGI Transfer Process to Phase Two (Engagement). The best gauge of support by stakeholders of the process is a 94% vote by FSC Canada membership of a motion in favor of the Strategic Direction of the Standards Revision Process.

FSC Canada will gauge the level support stakeholders throughout the process and the outcome of the process using such means as signed letters from a senior representative of the organization, motions to membership and surveys.

FSC Canada has a stakeholder list with over 5000 contacts included. Below is a sampling of stakeholders interested in the Standards Revision Process and the IGI Transfer Process.

Interest represented	Name / Organization	Contact details
Environmental	World Wildlife Fund Canada, Steven Price	sprice@wwfcanada.org 416-484-7713
Environmental	Wildlands League, Dave Pearce	dave@wildlandsleague.org 416-971-9453 x40

Interest represented	Name / Organization	Contact details
Environmental	Sierra Club of Canada, BC Chapter Caitlyn Vernon	caitlyn@sierraclub.bc.ca 250-386-5255 x222
Environmental	Nature Québec / UQCN Louis Belanger	conservons@naturequebec.org 418-648-2104 x2094
Environmental	Greenpeace Canada, Catherine Grant	catharine.grant@greenpeace.org 416-597-8408 x3044
Social	West Coast Environmental Law Jessica Clogg	jessica_clogg@wcel.org 604-684-73783
Social	Peter Wood	peterjwood@gmail.com 604-761-3075
Social	Pulp, Paper and Woodworkers of Canada, Arnold Bercov	arnold_bercov@telus.net 250-735-8721
Aboriginal	Wolf Lake First Nation, Harry St-Denis	wlfn@wolflakefirstnation.com
Aboriginal	National Aboriginal Forestry Association Brad Young	byoung@nafaforestry.org
Aboriginal	Larry Joseph	larry.joseph@me.com
Economic	Nagaya Forest Restoration Ltd. William McKay	naya@nbnet.nb.ca
Economic	Jeremy Williams	jeremy.w@sympatico.ca 416-894-8123
Economic	Resolute Forest Products Francois Dumoulin	francois.dumoulin@resolutefp.com 514-879-2160
Economic	Nova Scotia Woodlot Owners and Operators Association, Matt Miller	m.miller16@hotmail.com

11. Communications Plan

FSC Canada shall promote the FSC CA Standard at each stage of its development to all identified stakeholders by email and publish on our website various communications to stakeholders. Communications will also include:

Regional and National Meetings
There will be various opportunities during the three phases of the Standards Revision Process / IGI Transfer Process for face-to-face meetings and or conference calls.

Webinars
FSC Canada will use webinars to share information and gather input.

Electronic Postings
Various communications and documents will be posted on the FSC Canada website and distributed electronically to FSC members, certificate holders, certification bodies and the general distribution list, which includes a range of stakeholders. Input received on draft standards will be recorded and considered during the development of the final National Forest Management Standard and responded to within the Summary of Comments.

12. Other elements of the transfer process under the responsibility of the Coordinator

12.1 Complaints and disputes

Complaints/disputes related to the content of the approved standard shall be responded to by the Coordinator, by providing an explanation of why a particular point of view was not incorporated into the final standard submitted for approval, and/or explaining how the point may be raised again in relation to future revisions of the standard.

Complaints/disputes related to procedural issues shall be responded to initially by the Coordinator. If the complainant is not satisfied with the explanation provided by the Coordinator, the complaint /dispute shall be addressed through the FSC Dispute Resolution process.

12.2 Record keeping

The Coordinator is responsible for maintaining the following records:

a) Approved proposal to transfer the standard;
b) Names and affiliations of members of Standards Development Group and Consultative Forum, and of other stakeholders that were consulted on the standards during their transfer;
c) Minutes of meetings held by the Standards Development Group;
d) Copies of public draft standards circulated for comment;

e) Copies of all comments received on public draft standards;
f) Summary of comments received in response to each public draft, together with a general response to those comments;
g) Copies of technical drafts;
h) The Standards Development Group report and all comments received on the Standards Development Group report;
i) A description of all departures from the specified procedures for standards development, and the actions taken in respect of those departures; and
j) The decisions of the FSC Board of Directors, FSC Executive Director and Policy and Standards Unit in relation to the requirements of this standard